

Colonial Athletic Association

Compliance Monthly

The CAA Compliance Staff is dedicated to providing its member institutions with comprehensive Rules Compliance Services and Support. We hope that you find the CAA monthly newsletters to be useful and we welcome your feedback and ideas for new content.

NCAA Official Interpretation

Elimination or Reduction of Recruiting Restrictions After Commitment

Date Published: January 10, 2014

Item Ref: 1

Interpretation:

The committee determined that in a situation in which a prospective student-athlete signs a National Letter of Intent or a written offer of admission and/or financial aid with multiple institutions or submits a financial deposit to multiple institutions in response to offers of admission, the legislative provisions that eliminate or reduce recruiting restrictions after a prospective student-athlete signs a National Letter of Intent or the institution's written offer of admission and/or financial aid, or after the institution receives his or her financial deposit in response to its offer of admission applies only to the first institution with which the prospective student-athlete signs a valid National Letter of Intent, written offer of admission and/or financial aid, or the first institution to receive his or her financial deposit in response to an offer of admission, whichever occurs first.

[References: NCAA Division I Bylaws 13.02.12.1 (exception -- after commitment), 13.4.1.2.2 (exception -- electronic transmissions after commitment), 13.6.2.2.2 (July evaluation periods -- women's basketball), 13.7.1.1 (exception -- men's basketball), 13.7.1.2 (exception -- women's basketball), 13.10.3 (publicity after commitment), 13.11.2.3.1 (exception -- after National Letter of Intent signing), 13.11.3.7.1 (voluntary summer conditioning -- bowl subdivision football), 13.11.3.7.2 (voluntary summer conditioning -- championship subdivision football), 13.11.3.8 (voluntary summer conditioning -- basketball) and 13.12.1.7.1.1 (exception -- employment after commitment)]

Table of Contents

Elimination or Reduction of Recruiting Restrictions After Commitment	1
Application of Two-Year College Transfer Rules to a Non-qualifier Who Received a Fully Approved Initial-Eligibility Waiver	2
Application of Disciplinary Suspension to Graduate Transfer Student-Athletes	2
Monthly Compliance Quiz on NLI Provisions	2
Subscription to Recruiting or Scouting Services that Provide Information Beyond Standardized Information Provided to All Subscribers	3
Student-Athletes Selling Items Received for Participation in Intercollegiate Athletics	3
NCAA Bylaw 17.1.6.4.2	3
Recruiting Calendars	4

CAA Compliance Staff

Kathleen Batterson

Senior Associate Commissioner

kbatterson@caasports.com

804-525-1213

Donna Jones

Assistant Director of Compliance
& Student-Athlete Services

djones@caasports.com

804-545-7229

8625 Patterson Ave.
Richmond, VA 23229

Visit the Compliance Corner on
www.CAASports.com

NCAA Staff Interpretation

Application of Two-Year College Transfer Rules to a Nonqualifier Who Received a Fully Approved Initial-Eligibility Waiver

Date Published: January 10, 2014

Item Ref: a

Interpretation:

The academic and membership affairs staff determined that a nonqualifier who previously received a fully approved initial-eligibility waiver (e.g., obvious waiver, automatic waiver, waiver approved by NCAA staff) to permit athletically related financial aid, practice and competition in the initial year of full-time collegiate enrollment is considered a qualifier for purposes of the application of the two-year college transfer requirements.

[References: NCAA Bylaws 14.3.1.1 (qualifier); 14.3.1.4 (initial-eligibility waivers); 14.5.4.1 (qualifier); 14.5.4.2 (not a qualifier); and 14.5.6 (4-2-4 college transfers)]

NCAA Official Interpretation

Application of Disciplinary Suspension to Graduate Transfer Student-Athletes

Date Published: January 10, 2014

Item Ref: 2

Interpretation:

The committee confirmed that a graduate student who transfers to an NCAA member institution while the student is disqualified or suspended from his or her previous institution for disciplinary reasons (as opposed to academic reasons) must complete one calendar year in residence at the certifying institution.

[References: NCAA Division I Bylaws 14.1.8.1 (one-time transfer exception), 14.5.1.2 (disciplinary suspension), 14.5.5.1 (general rule) and official interpretation (11/15/90, Item No. 7)]

Monthly Compliance Quiz



Janet Smith is a women's soccer prospective student-athlete who is going to sign a National Letter of Intent (NLI) during the February signing period with Compliance University (CU). There are rumors that the head coach at CU is going to take a coaching job at another NCAA institution. If the coach leaves CU after Smith signs her NLI, is she still bound by the provisions of the NLI?

Yes. Per the **National Letter of Intent Provisions**, a student -athlete signs an NLI with an institution, not with a coach. If the coach leaves, the prospect is still bound by the provisions of the NLI. Below is an Excerpt from the NLI agreement:

Coaching Changes

I understand I have signed this NLI with the institution and not for a particular sport or coach. If a coach leaves the institution or the sports program (e.g., not retained, resigns), I remain bound by the provisions of this NLI. I understand it is not uncommon for a coach to leave his or her coaching position.

Compliance Q & A



Several coaching staffs at Compliance University are interested in getting subscriptions to a fairly new scouting service. All subscribers will receive a standard package of information at an established rate. Additionally, the organization will offer other information to a select group of coaches through an automated phone line.

Is it permissible for Compliance University coaches to subscribe to this scouting service?

No. NCAA Official Interpretation- 1/15/14- Subscription to Recruiting or Scouting Services that Provide Information Beyond Standardized Information Provided to All Subscribers (I) - states that an institution is not permitted to subscribe to a recruiting or scouting service that provides information in any form (e.g., oral reports, electronic messages, etc.) about prospective student-athletes beyond the standardized, consistent information that is provided to all subscribers of the service.

[References: NCAA Division I Bylaws 13.14.3 (recruiting or scouting services), 14.13.1 (basketball and football), 13.14.3.1.1 (video-only services), 13.14.3.2 (sports other than basketball and football) and 13.14.3.3 (subscription limited to approved services – basketball and football); and official interpretations (9/20/13, Item No. 1 and 12/5/13, Item No. 2), which have been archived]

Compliance Q & A

Sara Rodgers is a basketball student-athlete at Compliance University. Sara wants to sell the ring she received for participating in athletics. Is it permissible for Sara to sell her ring?

No. NCAA Official Interpretation- 1/10/14- Student-Athletes Selling Items Received for Participation in Intercollegiate Athletics (I) - states that a student-athlete may not sell, or exchange for another item of value, any item received for athletics participation. [Note: This includes awards and gifts received from the institution, CAA, and/or the NCAA.]

[References: NCAA Division I Bylaws 12.5.2.1 (advertisements and promotions after becoming a student-athlete); 12.1.2 (amateur status); 16.1.4 (types of awards, awarding agencies, maximum value and numbers of awards); and 16.11.2.1 (general rule)]

NCAA Bylaw 16.1.4 states that athletics awards given to individual student-athletes shall be limited to those approved or administered by the member institution, its conference or an approved agency as specified in the following subsections and shall be limited in value and number as specified in this section. Awards received for intercollegiate athletics participation may not be sold, exchanged or assigned for another item of value, even if the student-athlete's name or picture does not appear on the award.

NLI Signing Period
for Field Hockey,
Soccer, Track and
Field/Cross Country
begins February 5,
2014 and concludes on
August 1, 2014.



Did You Know?

NCAA Bylaw 17.1.6.4.2 states that when an institution's competition is canceled prior to the start of competition or canceled prior to the competition being considered a completed event in accordance with the playing rules of that sport, an institution may use that day as its required day off, provided the institution does not engage in any further countable athletically related activities during that day. *(Adopted: 1/16/93)*



February Recruiting Calendars

Note: This is a color coded visual of the recruiting calendars, please consider this when printing.



Cross Country/ Track & Field						
Su	Mo	Tu	We	Th	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

February 1-3 (12:01 a.m.) Contact Period
 February 3-6 Dead Period
 February 7-28 Contact Period

Men's Basketball						
Su	Mo	Tu	We	Th	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

February 1-28 Recruiting Period

Women's Basketball						
Su	Mo	Tu	We	Th	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

February 1-28 Evaluation Period

Women's Volleyball						
Su	Mo	Tu	We	Th	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

February 1-28 Contact Period
 In those states that play the HS VB season in the winter, contacts and evaluations shall be permissible Jan. 1 –the Friday prior to the Presidents Weekend Tournaments.

Softball						
Su	Mo	Tu	We	Th	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

February 1-28 Contact Period
 *50 Evaluation Days (Aug.1-July 31) which does not include employment of coaches in instructional camps/clinics or observation of prospects in HS Softball Competition

Baseball						
Su	Mo	Tu	We	Th	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

February 1-28 Quiet Period

February 1-28 Quiet Period

Men's Lacrosse						
Su	Mo	Tu	We	Th	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

Women's Lacrosse						
Su	Mo	Tu	We	Th	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	

February 1-28 Contact Period